

FIN 4833 - Retirement Plans Employee Benefits

Fall 2026 Syllabus, Section 01, CRN 41199,

Credit hours: 3

Instructor

Huaiyu Peter Chen

Email: hchen01@ysu.edu

Public Instructor Information

Instructor Title: Associate Professor of Finance

Instructor Professional Qualifications: Ph.D. of Finance Syracuse University 2003; Chartered Financial Analyst; Certified Financial Planner.

Instructor Office Location: WCBA 3370

Instructor Office Phone: 330 941 1883

Course Description

4833. Retirement Plans & Employee Benefits. Retirement Plans Emp Benefits. Provides students with retirement and employee benefits topics required for a financial planning career discussed within the context of time value of money, inflation, and taxation. Specifically, insurance (life, disability and medical) issues, ESOPs and deferred compensation plans, private and public retirement plans and distribution rules are reviewed in-depth. Prereq.: "C" or better in FIN 3720 and 2.5 overall GPA. 3 s.h.

Course Readings

Group	Title	Author	ISBN
Required	Retirement Planning 20th Edition	James F. Dalton and Michael A. Dalton	978-1-957511-16-0

The course readings are subject to change in the event of extenuating circumstances, research developments, current events, and/or to ensure better learning.

Schedule of Topics and Assignments

Week of	Reading(s)	Proposed Topic	Due/To Prepare for Class
8/24	Chapter 1	Introduction to Retirement Planning	Chapter 1 Homework
8/31	Chapter 2	Retirement Planning Accumulations and Distributions	Chapter 2 Homework
9/7	Chapter 3	Qualified Plan Overview	Chapter 3 Homework
9/14	Chapter 4	Qualified Pension Plans	Chapter 4 Homework
9/21	Chapter 5	Profit-Sharing Plans	Chapter 5 Homework
9/28	Exam I and Review		
10/5	Chapter 6	Stock Bonus Plans & Employee Stock Ownership Plans	Chapter 6 Homework
10/12	Chapter 7	Distributions from Qualified Plans	Chapter 7 Homework
10/19	Chapter 8	Distributions from Qualified Plans	Chapter 8 Homework
10/26	Chapter 9	Individual Retirement Account (IRAs) and Simplified Employee Pension (SEPs)	Chapter 9 Homework
11/2	Chapter 10	SIMPLE, 403(b), and 457 Plans & Exam Prep	Chapter 10 Homework
11/9	Exam II and Review		
11/16	Chapter 11	Social Security	Chapter 11 Homework
11/23	Chapter 12	Deferred Compensation and Non-Qualified Plans	Chapter 12 Homework

11/30	Chapter 13 & 14	Employee Benefits: Fringe Benefits and Group Benefits	Chapter 13&14 Homework
12/7	Final Exam		

The course schedule, policies, procedures, and assignments in this course are subject to change in the event of extenuating circumstances, by mutual agreement, and/or to ensure better learning.